

Name: \_\_\_\_\_

- (1) Complete the following sketch of a proof by strong induction.

**Theorem.** Define a sequence by  $a_0 = 0$ ,  $a_1 = 2$ , and  $a_{n+1} = 4(a_n - a_{n-1})$  for  $n \geq 1$ . Then a closed form for  $\{a_n\}$  is  $a_n = n \cdot 2^n$ .

*Proof.* Proof by strong induction on  $n$ .

**Base case(s).** ?????????????????? (In particular, how many base cases are needed? This ties in to the lower bound on  $k$  you require for your inductive step, below.)

**Inductive step.** Assume that  $k$  is an integer,  $k \geq ???$ , and that for every  $j \in \{0, \dots, k\}$ , we have  $a_j = ????$ . [We want to show that  $a_{k+1} = (k+1)2^{k+1}$ .]

We have

$$\begin{aligned}
 a_{k+1} &= ????? && \text{by the recurrence relation} \\
 &= ????? && \text{the inductive hypothesis} \\
 & && \text{the lower bound you gave on } k \\
 & && \text{above is important here! Why?} \\
 &= ??? && \text{algebra} \\
 &= ??? && \text{more algebra} \\
 &= ??? && \text{more algebra if needed?} \\
 &= (k+1)2^{k+1} && \text{algebra}
 \end{aligned}$$

which is what we wanted to show.

So, by PSMI [the Principle of Strong Mathematical Induction], the theorem holds.  $\square$

- (2) The sorting algorithm **mergesort** below is a well-known recursive algorithm.

Prove (using induction) that the time complexity of **mergesort** is  $\Theta(n \log n)$ .<sup>1</sup>

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Input:  (a1, ..., an) a sequence of real numbers
        n, the length of the sequence, n ≥ 1
Output: (d1, ..., dn), the input sequence sorted in nondecreasing order.

If n = 1
    Return( (a1) ) //a list of length 1 is already sorted

left := (a1, ..., a[n/2])
right := (a[n/2]+1, ..., an)
(b1, ..., b[n/2]) := mergesort(left)
(c1, ..., c[n/2]) := mergesort(right)
i := 1
j := 1
For k = 1 to n:
    If bi ≤ cj
        dk := bi
        i := i+1
    Else //bi > cj
        dk := cj
        j := j+1
    End-if
End-for
Return (d1, ..., dn)

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- (3) Define a sequence by  $a_1 = 1$ ,  $a_n = 2a_{\lfloor n/2 \rfloor}$  for  $n \geq 2$ .
- (a) Compute the first several values of the sequence. Conjecture a closed form. (It should involve  $\lfloor \log_2 n \rfloor \dots$ )
  - (b) Prove that your closed form is correct using strong induction. (You will need a result from Workshop 10: For any  $x \in \mathbb{R}$  and  $m \in \mathbb{Z}$ ,  $\lfloor x + m \rfloor = \lfloor x \rfloor + m$ .)
- (4) Let  $\{f_n\}$  denote the Fibonacci sequence. Prove that

$$f_n = \frac{1}{\sqrt{5}} \left[ \left( \frac{1 + \sqrt{5}}{2} \right)^n - \left( \frac{1 - \sqrt{5}}{2} \right)^n \right]$$

for all  $n$ . Part of the reason this is true – and this will also save you some algebra in your proof – is that  $\varphi = \frac{1+\sqrt{5}}{2}$  and  $\psi = \frac{1-\sqrt{5}}{2}$  are both solutions to the equation  $x^2 = x + 1$ . (*No, it's not the prettiest formula. But you can do it!*)

## NOTES

<sup>1</sup>Technically, this code doesn't work; when we get to the end of one of the lists  $(b_i)$  or  $(c_j)$ , then we keep trying to compare. That is, we test **If**  $b_i \leq c_j$ , but at some point in the **For** loop we have  $i > \lfloor n/2 \rfloor$  or  $j > \lfloor n/2 \rfloor$  and so either  $b_i$  or  $c_j$  isn't defined. To fix the problem would take a little code that would not significantly affect the time complexity, but would muddy the code. This should not affect your answer. (And if you want to be a stickler, I could just define  $a_{\lfloor n/2 \rfloor + 1} = b_{\lfloor n/2 \rfloor + 1} = \infty$ , in which case the code will work as desired.)